

# Putting My Clients' Interests First

*I believe in placing my clients' best interests first. Therefore, I am proud to commit to the following five principles:*

*I will always put my clients' best interests first.*

*I will act with prudence; that is, with the skill, care, diligence, and good judgment of a professional.*

*I will not mislead clients, and I will provide conspicuous, full and fair disclosure of all important facts.*

*I will avoid conflicts of interest.*

*I will fully disclose and fairly manage, in my clients' favor, any unavoidable conflicts.*

Advisor \_\_\_\_\_

Firm Affiliation \_\_\_\_\_

Date \_\_\_\_\_

